

MAIL STOP ISSUE FEE



PATENT

Agent's Docket No. 13306-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)

OUELLET, Luc et al.)

Serial No: 09/867,662)

Art Unit: 1762

Filed: 31 May 2001)

Examiner: TALBOT, Brian K.

For: **METHOD OF DEPOSITING OPTICAL FILMS**

December 15, 2004

Commissioner for Patents
U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Sir:

Please find enclosed for filing:

- Transmittal of Information Disclosure Statement Form
- Information Disclosure Statement By Applicant – Form PTO/SB/08a
(with prior art documents attached)
- Certificate Under 37 CFR 1.97(e)

Respectfully submitted,

BY: 

Richard J. Mitchell
Reg. No. 34,519
Agent of Record

MARKS & CLERK
P. O. Box 957, Station B
Ottawa, Ontario Canada K1P 5S7 (613) 236-9561

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b))

Docket No.

11471-US

In Re Application Of: Luc OUELLET, et al.

Serial No.

09/867,662

Filing Date

31 May 2001

Examiner

TALBOT, Brian K.

Group Art Unit

1762

Title:

METHOD OF DEPOSITING OPTICAL FILMS

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97 (b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97 (c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☒ a certification as specified in 37 CFR 1.97(e);

~~OR~~ AND

- ☒ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(a) of 1.97(b))

Docket No.
11471-US

In Re Application Of: Luc OUELLET, et al

Serial No.

09/867,662

Filing Date

31 May 2001

Examiner

TALBOT, Brian K.

Group Art Unit

1762

Title:

METHOD OF DEPOSITING OPTICAL FILMS

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 13-1717 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$180.00
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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____) on _____

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I certify that this document and fee being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

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*This certificate may only be used if paying by deposit account.



Signature

Richard J. Mitchell

34,519

Marks & Clerk Canada
P.O. Box 957
Station B
Ottawa, ON, K1P 5S7
Phone: (613) 236-9561



* 2 3 5 5 3 *

Dated: 15 December 2004

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Substitute for form 1449/PTO

Complete if Known

Application Number	09/867,662
Filing Date	31 May 2001
First Named Inventor	Luc OUELLET
Art Unit	1762
Examiner Name	TALBOT, Brian K.
Attorney Docket Number	11471-US

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 2

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US-			
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		WO 97/25636	07-17-1997	Northern Telecom Ltd		
		JP10319263A2	12-04-1998	Hitachi Cable Ltd.		
		JP03274272 A	12-15-1991	Matsushita Denki Sang		

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 2

Complete if Known

Application Number	09/867,662
Filing Date	31 May 2001
First Named Inventor	Luc OUELLET
Art Unit	1762
Examiner Name	TALBOT, Brian K.
Attorney Docket Number	11471-US

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		HSEIH, S.W. et al: Characteristics of Low-Temperature and Low-Energy Plasma-Enhanced Chemical Vapor Deposited SiO ₂ , August 1993, 2638-2648, Vol. 74, No 4., American Institute of Physics, Republic of China	
		KIM, Kihong, et al.: Properties of Low Dielectric Constant Fluorinated Silicon Oxide Films Prepared by Plasma Enhanced Chemical Vapor Deposition, 1998, 369-374, Elsevier Science S.A., Baton Rouge, LA.	
		GRAND, G. et al.: Low-Loss PECVD Silica Channel Waveguides For Optical Communications, Electronic Letters, 6 December 1990, Vol. 26, No. 25, France	

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

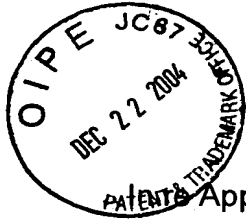
1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Application of)

OUELLET, Luc et al.)

Serial No: **09/867,662**)

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Art Unit: **1762**

Examiner: **TALBOT, Brian K.**

For: **METHOD OF DEPOSITING OPTICAL FILMS**

December 20, 2004

**Commissioner for Patents
U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450**

CERTIFICATE UNDER 37CFR 1.97(e)

Sir:

The applicants are submitting herewith an Information Disclosure Statement. The undersigned certifies that the information contained in the attached Information Disclosure Statement was cited in a Communication from a foreign Patent Office, in a counterpart foreign application, namely the Japanese Search Report received from the Japanese Patent Office dated November 2, 2004.

This application was allowed on November 2, 2004 and is therefore due for payment of the issue fee prior to February 2, 2005.

Respectfully submitted,

BY: 

**Richard J. Mitchell
Reg. No: 34,519
Agent of Record**

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